



PTO/SB/08A (04-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1

of 2

**Complete if Known**

Application Number	10/827,157
Filing Date	4/19/2004
First Named Inventor	BRITTON, Susan M.
Art Unit	3694
Examiner Name	Shahid Merchant
Attorney Docket Number	P00949-US-01

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/SM/ ↓		US- 2002/0161683 A1	10/31/2002	Ginsberg	
		US- 2003/0120578 A1	6/26/2003	Newman	
		US- 2002/0065896 A1	5/30/2002	Burakoff et al.	
		US- 2002/0055898 A1	5/9/2002	Burakoff et al.	
		US- 2001/0056387 A1	12/27/2001	Magary et al.	
		US- 6,122,635	9/19/2000	Burakoff et al.	
		US- 5,864,871	1/26/1999	Kitain et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
/SM/ ↓		WO 96/18963	6/20/1996	FS Holdings Inc		
		WO 99/41688	8/19/1999	Newriver Invest		
		WO 02/054323 A1	7/11/2002	Incapital Hold		
		WO 02/088872 A2	11/7/2002	CFPH, L.L.C.		
		EP 1 253 550 A1	10/30/2002	CFPH, L.L.C.		
		JP2001265928A2	9/28/2001	Nikko		

Examiner  
Signature

/Shahid Merchant/

Date  
Considered

06/07/2007

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Sheet 2

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Filing Date	4/19/2004
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First Named Inventor	BRITTON, Susan M.
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Art Unit	3694
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Examiner Name	Shahid Merchant
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Attorney Docket Number	P00949-US-01
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

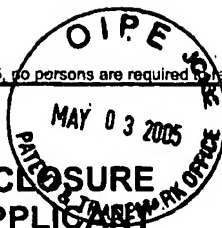
FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
/SM/		JP2002366757A2	12/20/2002	Nikko Cordial		
/SM/		JP2003187079A2	7/4/2003	Nomura Sec.		

Examiner Signature	/Shahid Merchant/	Date Considered	06/07/2007
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Sheet 1

of 1

Application Number	10/827,157
Filing Date	April 19, 2004
First Named Inventor	Britton, Susan M.
Art Unit	3694
Examiner Name	Shahid Merchant
Attorney Docket Number	P00949-US-01

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**Examiner  
Signature**

/Share Merchant/

Date Considered

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